

6/2/01
#5A
PATENT
454313-3160

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : AUDONNET Jean-Christophe Francis and MINKE Jules Maarten
Serial No. : Not Yet Known
Filing Date : Herewith
For : ADJUVANT-CONTAINING DNA VACCINES
Examiner : Not Yet Known
Art Unit : Not Yet Known

745 Fifth Avenue
New York, New York 10151

EXPRESS MAIL

Mailing Label Number: EL 375 195 811 US

Date of Deposit: October 2, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Kenneth Fetterson
(Typed or printed name of person mailing paper or fee)

[Signature]
(Signature of person mailing paper or fee)

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Kindly amend the application, without any prejudice, without any admission, without any surrender of subject matter, and without any intention of creating any estoppel as to equivalents as follows:

IN THE SPECIFICATION

On page 1, before line 1, please insert

-- **TITLE OF THE INVENTION**

a/

ADJUVANT-CONTAINING VACCINES

**CROSS-REFERENCE TO RELATED APPLICATIONS/INCORPORATION BY
REFERENCE**

This application is a continuation-in-part of PCT/FR99/00666, filed on March 22, 1999 which claims the priority benefits of French Patent Application No. 98/04409, filed on April 3, 1998. Each of these applications, as well as each document or reference cited in each of these applications (including during the prosecution; "application cited documents"), and each foreign application or patent corresponding to and/or claiming priority from any of these applications, and each of the documents cited or referenced in each of the application cited documents, are hereby expressly incorporated herein by reference in their entirety. More generally, documents or references are cited in this text; and, each of these documents or references ("herein-cited documents or references"), as well as each document or reference cited in each of the herein-cited documents or references (including any manufacturer's specifications, instructions, etc.), is hereby expressly incorporated herein by reference.

FIELD OF THE INVENTION --

Page 1, line 9, please insert

-- **BACKGROUND** -- ✓

Page 1, line 31, please insert

-- **SUMMARY OF THE INVENTION** -- ✓

Page 2, line 8, please insert

-- **DETAILED DESCRIPTION** -- ✓

Page 12, line 1, please replace "list of figures," with -- **BRIEF DESCRIPTION OF
THE DRAWINGS** -- ✓

REMARKS

This Preliminary Amendment merely adds a lineage, and headings, to place the application in better condition for examination.

PATENT
454313-3160

No fee is deemed necessary in connection with the filing of this Preliminary Amendment. However, if any fee is required for the consideration of this Preliminary Amendment, the Assistant Commissioner is authorized to charge such fee or credit any overpayment to Deposit Account 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

By:

Thomas J. Kowalski

Reg. No. 32,147

Phone (212) 588

Fax (212) 588-0500

Part (B) 300-1000